

1007 Rec'd PCT/PTO 10 JAN 2002

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371		Attorney's Docket Number 044574-5040-01 U.S. Application No. 10/030453 Unassigned
International Application. No. PCT/US01/07608	International Filing Date March 9, 2001	Priority Date Claimed March 9, 2000

Title of Invention: PHYTOMICS: A GENOMIC-BASED APPROACH TO HERBAL COMPOSITIONS

Applicants For EO/EO/US: Patrick C. KUNG, Konan PECK, Yun-Shien LEE, Yuh-Pyng SHER and Yung-Chi CHENG

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9. ☐ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

**Items 11. to 14. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ Other items or information:
  - a. PCT/IB/304
  - b. PCT/IB/308
  - c. PCT/IB/332

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U.S. APPLICATION NO. **10/030453** INTERNATIONAL APPLICATION NO. PCT/US01/07608 ATTORNEY DOCKET NUMBER 044574-5040-01

15. ☒ The following fees are submitted:

**Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO.....\$890.00

International preliminary examination fee paid to  
USPTO (37 C.F.R. § 1.482).....\$710.00

No international preliminary examination fee paid to  
USPTO (37 C.F.R. § 1.482) but international search fee  
paid to USPTO (37 C.F.R. § 1.445(a)(2)).....\$740.00

Neither international preliminary examination fee  
(37 C.F.R. § 1.482) nor international search fee  
(37 C.F.R. § 1.445(a)(2)) paid to USPTO.....\$1,040.00

International preliminary examination fee paid to USPTO  
(37 C.F.R. § 1.482) and all claims satisfied provisions  
of PCT Article 33(2)-(4).....\$100.00

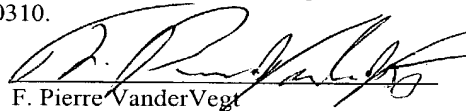
**ENTER APPROPRIATE BASIC FEE AMOUNT = \$890.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than  
☐ 20 ☒ 30 months from the earliest claimed priority date  
(37 C.F.R. § 1.492(e)). \$

Claims	Number Filed	Number Extra	Rate	
Total Claims	34 - 20 =	14	X \$18.00	\$ 252.00
Independent Claims	10 - 3 =	7	X \$84.00	\$ 588.00
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$ 280.00
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>\$ 2010.00</b>
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				-\$
<b>SUBTOTAL =</b>				<b>\$ 2010.00</b>
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).				+\$
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 2010.00</b>
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property				\$
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 2010.00</b>
Amount to be refunded				\$
Amount to be charged				\$

- a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 50-0310 in the amount of **\$2010.00**  
to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized  
by this paper to charge any additional fees during the entire pendency of this application  
including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any  
overpayment to Deposit Account No. 50-0310.

Customer No. 09629  
SEND ALL CORRESPONDENCE TO:  
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Submitted: January 10, 2002